



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US86/02374 <b>(22) International Filing Date:</b> 5 November 1986 (05.11.86) <b>(31) Priority Application Number:</b> 795,559 <b>(32) Priority Date:</b> 6 November 1985 (06.11.85) <b>(33) Priority Country:</b> US  <b>(71) Applicants:</b> THE UNITED STATES OF AMERICA as represented by THE DEPARTMENT OF COMMERCE [US/US]; Washington, DC 20231 (US). SMITHKLINE BECKMAN CORPORATION [US/US]; One Franklin Plaza, P.O. Box 7929, Philadelphia, PA 19101 (US).  <b>(72) Inventors:</b> ALDOVINNI, Anna ; 4713 Saul Road, Kensington, MD 20859 (US). DEBOUCK, Christine, Marie ; 667 Pugh Road, Wayne, PA 19087 (US). ROSENBERG, Martin ; 709 Swedeland Road, Swedeland, PA 19479 (US). WONG-STAAAL, Flossie ; 3 Lynn Manor Court, Rockville, MD 20850 (US).		<b>(74) Agents:</b> LENTZ, Edward, T. et al.; SmithKline Beckman Corporation, P.O. Box 7929, Philadelphia, PA 19101 (US).  <b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), CH (European patent), DE (European patent), DK, FI, FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), NO, SE (European patent).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> AIDS VIRUS GENE EXPRESSION  <b>(57) Abstract</b>  The <i>tat-3</i> gene of HTLV-III is expressed at high levels in <i>E. coli</i> and is reactive with antibodies induced in response to infection by HTLV-III and can induce production of antibodies which are reactive with HTLV-III.		

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TITLE

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AIDS Virus Gene ExpressionFIELD OF THE INVENTION

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This invention relates to the field of molecular biology and more particularly to expression of a gene from the HTLV-III Virus in E. coli and uses thereof.

BACKGROUND OF THE INVENTION

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Human T-lymphotropic virus type-III (HTLV-III), also known as Lymphadenopathy virus (LAV) or AIDS-associated retro-virus (ARV), is the etiological agent of the acquired immuno-deficiency syndrome (AIDS) and related disorders. While HTLV-III is evolutionarily more closely related to the ungulate lenti-retroviruses, it shares many common features with the previously isolated human T-lymphotropic viruses, types I and II (HTLV-I and HTLV-II), particularly those biological and pathogenic properties that are consequences of their capacity to infect helper T-lymphocytes and impair immune function. Furthermore, one unusual property unites HTLV types I, II and III, related animal retroviruses, such as bovine leukemia virus and simian T-lymphotropic viruses, type I, and the ungulate lenti-retroviruses, namely, the presence of a viral encoded protein which mediates activation of transcription initiated in the viral long terminal repeat (LTR). It has been speculated that this transcriptional activator (tat) plays a critical role in the biological

- 1 activities (transformation or cytopathic effects) of this group of viruses.

The severity of AIDS makes early and accurate diagnosis of infection by HTLV-III and detection and  
5 elimination of HTLV-III-contaminated samples from blood banks extremely important. Gallo et al., U.S. Patent 4,520,113, disclose use of antigens derived from HTLV-III to detect presence of anti-HTLV-III antibodies in serum. Montagnier et al., EP-A-138,667, disclose use of a  
10 specified HTLV-III antigen to detect infection by the virus. Papas et al., United States Patent Application Serial No. 6-664,972 (Derwent Accession No. 85-110268/18), disclose expression of a HTLV-I envelope protein coding sequence in E. coli and use of the protein expressed  
15 thereby to detect infection by HTLV-I. Crowl et al., Cell 41: 979(1985), report expression in E. coli of portions of the HTLV-III envelope protein gene, env, and use of such proteins for detection of infection by HTLV-III. Casey et al., J. Virol. 55: 417 (1985), report purification of the  
20 gag gene product, an internal structural protein of HTLV-III referred to as p24, and use of the protein to detect infection by HTLV-III.

Seiki et al., Proc. Nat'l. Acad. Sci USA 80: 2618 (1983), and Haseltine et al., Science 225: 419 (1984),  
25 report identification of proteins which mediate activation of transcription of the LTR in HTLV-I and HTLV-II, referred to as the tat-1 and tat-2 proteins, respectively.

Sodroski et al., Science 227: 171 (1985), report in  
30 trans activation of gene expression from the LTR in HTLV-III.

Arya et al., Science 229:69(1985), and Sodroski et al., Science 229: 74 (1985), report a tat protein encoded by HTLV-III and identification and cloning in E. coli of a cDNA coding for said tat protein, referred to as tat-3.

SUMMARY OF THE INVENTION

1           The invention is, in one aspect, an E. coli  
expression vector which comprises a DNA coding sequence  
operatively linked to a regulatory element wherein the DNA  
coding sequence codes for the tat-3 protein of HTLV-III or  
5   for a derivative thereof, which derivative is a  
polypeptide which is reactive with antisera to tat-3  
induced in response to infection in an animal by HTLV-III.

          In another aspect, the invention is a method for  
10   detecting infection in an animal by HTLV-III which  
comprises contacting a sample of serum from the animal  
with tat-3, or a derivative thereof which derivative is a  
polypeptide which is reactive with antisera to tat-3  
induced in response to infection in an animal by HTLV-III,  
15   and assaying for reactivity of the sample with the tat-3  
or the tat-3 derivative.

          All of these embodiments of the invention, as  
well as others described herein, are readily attainable  
and are considered as further aspects of the same  
20   invention.

DETAILED DESCRIPTION OF THE INVENTION

          It has now been discovered that the tat-3 protein of  
HTLV-III can be expressed in E. coli in readily  
25   recoverable quantities and that the protein so expressed  
is reactive with sera from animals infected by the  
HTLV-III virus.

          The E. coli expression vector of the invention is  
prepared by recombinant DNA techniques or by a combination  
30   of recombinant DNA and synthetic techniques. It comprises  
at least a coding sequence for the tat-3 protein of  
HTLV-III or for a derivative of the tat-3 protein which is  
immunologically equivalent to tat-3. By "immunologically  
equivalent" is meant that the derivative polypeptide is  
35   reactive with antibodies to authentic tat-3 induced in

1 response to infection in an animal by HTLV-III or,  
conversely, is capable of inducing an immune response  
which is reactive with authentic tat-3. In the expression  
vector of the invention, said coding sequence is  
5 operatively linked to a regulatory element.

The coding sequence for authentic tat-3 can be  
prepared by known techniques from HTLV-III virus or from  
HTLV-III-infected cells by isolation of viral mRNA and  
preparing cDNA by reverse transcription. Such preparation  
10 of a tat-3 coding sequence is disclosed by Arya et al.,  
Science 229: 69 (1985) and by Sodroski et al., Science  
229: 74 (1985), both of which are incorporated by  
reference herein.

Derivatives of the coding sequence so obtained can be  
15 prepared by standard recombinant DNA and/or synthetic  
techniques. These include mutation techniques reviewed by  
Botstein, Science 229:1193 (1985). Such derivatives can  
comprise addition, substitution or deletion of one or more  
base pairs such that upon expression, the resulting fused,  
20 mutated or truncated polypeptide is immunologically  
equivalent to authentic tat-3. Typically, for use as a  
diagnostic, such derivative will comprise a truncated  
protein, for example a polypeptide of 5 to 10 amino acids  
which retains immunologic cross-reactivity with tat-3,  
25 such as a tat-3 protein in which N- or C-terminal amino  
acids have been deleted.

The coding sequence for the polypeptide can be  
inserted into any E. coli expression vector, many of which  
are known and available. By "regulatory element" is meant  
30 the expression control sequences, for example, a promoter  
and ribosome binding site, required for transcription and  
subsequent translation. Regulatable regulatory elements,  
that is, regulatory signals which are not constitutive but  
require induction or derepression, are preferred. Such  
35 vectors typically comprise, in addition to the regulatory

1 element, a region which permits the vector to be stably  
maintained in a host cell population, that is, a replicon  
or origin of replication, and one or more selection  
markers, that is, genes which confer a selectable  
5 phenotype upon hosts carrying the vector. One exemplary  
expression vector of the invention is the plasmid pAS1,  
described by Rosenberg et al., Meth. Enzym., 101: 123  
(1983) and Shatzman et al., in Experimental Manipulation  
of Gene Expression, edit. by M. Inouye, Academic Press,  
10 New York, 1982. pAS1 carries the pBR322 origin of  
replication, an ampicillin resistance marker and a series  
of fragments from bacteriophage lambda, which comprise the  
regulatory element including PL, N anti-termination  
function recognition sites (NutL and NutR), the  
15 rho-dependent transcription termination signal (tRL) and  
the cII ribosome binding site (rbs), including the cII  
translation initiation site, the G residue of which is  
followed immediately by a BamHI cleavage site as follows:

20 5'...cII...CA!TATG\*GATCC...3'

wherein the symbol, \*, indicates the cleavage site for  
BamHI and the symbol,!, indicates the cleavage site for  
NdeI.

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pAS1 can be derived from pKC30cII by deleting  
nucleotides between the BamHI site at the lambda-pBR322  
junction of pKC30cII and the cII ATG and religating the  
molecule to regenerate the BamHI site immediately  
30 downstream of the ATG. pKC30cII is constructed by  
inserting a 1.3 kb HaeIII fragment from lambda which  
carries the cII gene into the HpaI site of pKC30. See  
Shatzman et al., cited above, and Rosenberg et al., cited  
above. pKC30 is described by Shimatake et al., Nature,

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1 292: 128 (1981). It is a pBR322 derivative having a 2.4  
kb HindIII-BamHI fragment of lambda inserted between the  
HindIII and BamHI sites in the tetR gene of pBR322.  
Constructions similar to pAS1 are described by Courtney et  
5 al., Nature, 313, 145 (1985) and Kotewicz et al., Gene 35:  
249(1985). Derivatives of pAS1, comprising the PL, NutL,  
NutR and cII rbs regulatory element, can be constructed by  
standard techniques. The coding sequence is operatively  
10 linked, that is, in correct orientation and in proper  
reading frame, to a regulatory element of an E. coli  
expression vector by standard techniques to construct an  
expression vector of the invention.

The tat-3 expressed by E. coli, as shown in the  
Examples below, was reactive with 42 of 92 samples of sera  
15 (46%) from individuals exposed to HTLV-III, but was not  
reactive with sera from normal individuals. Thus, tat-3  
and derivatives thereof can be used in detection of  
HTLV-III infection by standard assay techniques which  
permit detection of presence of tat-3 protein or  
20 anti-tat-3 antibodies. The known host range for HTLV-III  
is limited to man and certain other higher primates,  
although presence in a larger animal pool at this time or  
in the future cannot be ruled out. Preferably, tat-3 is  
used in a battery of one or more other tests, such as  
25 immunoassays for presence of the env, sor, gag or 3'orf  
gene products in sera. Based on data gathered to date, a  
positive reaction with tat-3 is 100% diagnostic of  
HTLV-III infection. E. coli-derived tat-3 can also be  
used to screen samples of blood in blood banks.

30 Techniques for employing tat-3 in such diagnostic  
immunoassays are well known. These include, for example,  
the technique disclosed by Casey et al., J. Virol. 55: 417  
(1985) and by Crowl et al., Cell 41: 979 (1985). tat-3  
can be employed in an enzyme linked immunosorbent assay



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1 (ELISA) or radioimmuno assay (RIA). Preferably, a western blotting assay is employed, as an ELISA assay has been found in preliminary experiments to result in a small percentage of false positives.

5 Also, the tat-3 protein produced by E. coli can be used to stimulate production of anti-sera which is reactive with HTLV-III. Thus the tat-3 protein can be used as an antigenic component of a vaccine against infection by HTLV-III, although the protein is not  
10 structural and appears to be localized in cell nuclei. The ability to raise polyclonal antibodies renders it possible also to produce monoclonal antibodies by the standard techniques originally described by Kohler and Milstein, Nature 256:495 (1975) or other techniques of  
15 cell fusion or transformation. Such polyclonal or monoclonal antibodies can also be useful in detecting presence of tat-3 gene product in sera or in a cell population such as a cell culture. Such antibodies are also useful in affinity purification of tat-3, in epitope  
20 mapping to localize functional domains within the protein, such as domains which function in DNA binding, and can be used as neutralizing agents in therapy for HTLV-III infection. The tat-3 gene product can also be used in regulation of LTR-controlled gene expression units as  
25 disclosed by Arya et al. and Sodroski et al., et al, cited above. Because tat 3 is, by definition, functional in trans, an expression vector of the invention can be used to control expression for an integrated gene expression unit or a gene expression unit present in another  
30 plasmid.

The predicted amino acid sequence of the tat-3 gene product (see, Example 1, below) reveals a highly hydrophilic protein with at least three discernible  
domains in the first 57 amino acids: a proline-rich  
35 region (5 out of 16 residues from positions 2-18), a cysteine-rich region (7 out of 16 residues from positions 22-37) and a lysine/arginine-rich region (8 out of 9

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- 1 residues from positions 49-57). The availability of  
highly purified tat-3 protein in sufficient quantities  
will allow direct elucidation of its sites(s) and  
mechanism(s) of action, e.g., its DNA binding properties.  
5 In addition, expression of various truncated and mutated  
forms of this protein will allow precise localization of  
its functional domains. Availability of E. coli-derived  
tat-3 will permit identification of effectors, especially  
inhibitors of tat-3 function which can be used in therapy  
10 for HTLV-III infection.

Although the tat-3 gene of HTLV-III may be  
functionally analogous to the tat-1 and tat-2 genes and  
all three are transcribed from three exons into an RNA of  
about 2 kilobases, tat-3 differs from tat-1 and -2  
15 including, for example, in its position in the genome, its  
size and its primary nucleotide sequence.

The following Examples are illustrative, and not  
limiting, of the invention and of techniques for making  
and using the invention.

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#### Example 1

pOTS34 was derived from pAS1 by inserting a 189 bp  
fragment carrying a transcription terminator, the oop  
terminator, into the NruI site downstream of the BamHI  
25 site in pAS1 and by inserting a synthetic linker (XbaI,  
XhoI, SacI) into the SalI site between the BamHI site and  
the added terminator. pOTS34 is identical to pOTS-5 or  
pOTSV (Devare et al., Cell 36: 43 (1984)) except that the  
linker is inserted in opposite orientation.

30 A vector carrying a cDNA for tat-3, pCV-1, (Arya et  
al., cited above) was employed as a source of the tat-3  
coding sequence. The nucleotide and predicted amino acid  
sequence of the cDNA in pCV-1 are as follows:

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1 ATG GAG CCA GTA GAT CCT AGA CTA GAG CCC TGG AAG CAT CCA GGA AGT CAG CCT AAA ACT  
 MET GLU PRO VAL ASP PRO ARG LEU GLU PRO TRP LYS HIS PRO GLY SER GLN PRO LYS THR  
  
 GCT TGT ACC AAT TGC TAT TGT AAA AAG TGT TGC TTT CAT TGC CAA GTT TGT TTC ATA ACA  
 ALA CYS THR ASN CYS TYR CYS LYS LYS CYS CYS PHE HIS CYS GLN VAL CYS PHE ILE THR  
  
 5 AAA GCC TTA GGC ATC TCC TAT GGC AGG AAG AAG CGG AGA CAG CGA CGA AGA CCT CCT CAA  
 LYS ALA LEU GLY ILE SER TYR GLY ARG LYS LYS ARG ARG GLN ARG ARG ARG PRO PRO GLN  
  
 GGC AGT CAG ACT CAT CAA GTT TCT CTA TCA AAG CAA CCC ACC TCC CAA TCC CGA GGG GAC  
 GLY SER GLN THR HIS GLN VAL SER LEU SER LYS GLN PRO THR SER GLN SER ARG GLY ASP  
  
 CCG ACA GGC CCG AAG GAA TAG  
 10 PRO THR GLY PRO LYS GLU END

The strategy used to express the complete tat-3 protein involved two stages. First, the tat-3 coding region lacking the first 12 base-pairs (bp) at its 5' end was obtained as a MboI restriction endonuclease fragment from the cDNA clone. This fragment was inserted at the BamHI site of the pOTS34 vector. The resultant construct, pOTS-tatIIID, contains the tat-3 coding sequence deleted in codons 2 to 4 and positioned in-frame with the initiation codon provided by pOTS34. The second stage involved regeneration of the three missing codons at the amino-terminus. Since the 5' but not the 3' BamHI site was recreated in the pOTS-tatIIID plasmid, this vector was digested with BamHI, followed by Mung Bean exonuclease to create a blunt-end cloning site immediately adjacent to the initiation codon and the fifth codon of tat-3. A synthetic DNA linker reconstructing the missing codons was then inserted. The nucleotide sequence of the linker was slightly modified from the tat-3 gene without altering the amino acid sequence such that the 2d, 3d and 4th codons were as follows: GAA CCG GTG. This construction resulted in a BamHI site between the 4th and 5th codons. The final construction, pOTS-tatIII, consists of the reconstructed full-length tat-3 coding sequence in

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1 frame with the ATG of pOTS34. A sample of pOTS-tatIII has been deposited under the terms of the Budapest Treaty in the American Type Culture Collection, Rockville, Maryland, under Accession Number 53305.

5 pOTS-tatIIID, pOTS-tatIII and a control vector without insert (pOTS34), were introduced into E. coli ARI20, a  $ci^+$  lysogen inducible by nalidixic acid. See Mott et al., Proc. Nat'l. Acad. Sci. USA 82:88 (1985). Aliquots of the bacterial lysate at different times after  
10 induction were subjected to polyacrylamide gel electrophoresis. The ARI20 bacterial cells containing pOTS34, pOTS-tatIIID or pOTS-tatIII were grown to OD650 = 0.4-0.5 and induced by the addition of nalidixic acid to 60  $\mu$ g/ml substantially as described by Mott et al., cited  
15 above. Aliquots were taken 0, 3, and 5 hours after induction, spun down and resuspended in lysis buffer (60mM Tris-HCl (pH 7.0), 10% glycerol, 5% 2-mercaptoethanol, 2% SDS, 0.1% bromophenolblue). The proteins were resolved on a 15% SDS-polyacrylamide gel (acrylamide:bisacrylamide  
20 ratio of 30:0.8) and visualized by staining with Coomassie Brilliant Blue R-250.

A protein migrating with a 14 kd lysozyme marker was specifically induced in the cells transfected with pOTS-tatIIID, and a slightly larger protein was detected  
25 in the cells transfected with pOTS-tatIII. Although the apparent molecular size of 14 kd is greater than that expected for tat-3, about 9.7 kd based on the amino acid sequence, the discrepancy is attributed to the high proline content of this protein which could have retarded  
30 its migration. This example demonstrates high level expression of the tat-3 coding sequence in E. coli.

#### Example 2

The production of highly expressed tat-3 protein in bacteria (2-5% of total cellular protein), as in Example  
35 1, allowed preparation of specific polyclonal antibodies

1 against it. For this purpose the E. coli-derived tat-3  
protein, purified by electroelution following resolution  
on preparative polyacrylamide gels, was injected  
subscapularly into New Zealand white rabbits. Antisera  
5 from immunized rabbits reacted well against the 14 kd  
protein expressed in bacteria. Furthermore, a similar  
sized protein, 14 kd by polyacrylamide gel  
electrophoresis, was detected at low levels in an infected  
T-lymphocyte cell line (H9/HTLV-III-B) by immuno-  
10 precipitation. This latter data was the first evidence  
that E. coli-derived tat-3, even after SDS gel  
electrophoresis, retained epitopes in common with native  
tat-3, thus demonstrating that bacterially-derived tat-3  
can be used to produce antibodies which are reactive with  
15 authentic tat-3.

### Example 3

To evaluate whether the tat-3 protein could be of  
diagnostic or prognostic value, sera from diverse  
20 individuals were examined for reactivity against the  
partially purified protein by Western blotting.  
Specifically, following partial purification, resolution  
on SDS-polyacrylamide gel and electrotransfer to a  
nitrocellulose membrane, the membrane was cut into strips  
25 such that each strip was estimated to contain  
1 µg of tat-3. The strips were incubated at room  
temperature for 1 hr in milk buffer (5% non-fat dry milk,  
0.1% Antifoam A, 0.1%  $N_2N_3$ , 0.9% NaCl) and then were  
incubated at 4°C with a 1/100 dilution of patient sera.  
30 The strips were then washed for 20 min in phosphate  
buffered saline (PBS) and incubated for 1 hr at room  
temperature (20-25°C) in the milk buffer containing  
 $^{125}I$ -labelled protein A. After three washes for 30 min  
each in PBS, the strips were dried and autoradiographed.  
35 A 14.3 kd band corresponded to tat-3. The results, as

1 summarized in Table 1 below, indicate that while all  
healthy normal people not known to be exposed to HTLV-III  
lacked antibodies to tat-3, a substantial fraction of  
people who were seropositive for other viral structural  
5 proteins (envelope and core antigens) had detectable  
antibody level to tat-3.

One hundred seven serum samples were examined. They  
were divided into four categories, based on accepted  
Center for Disease Control definitions: (1) healthy  
10 seronegative (no reactivity with gag or env proteins)  
(HN); (2) healthy HTLV-III carriers (includes sera from  
individuals in high risk populations and positive for  
HTLV-III antibodies against env or gag protein, but free  
of clinical symptoms) (HC), (3) individuals with  
15 AIDS-related complex (ARC); and (4) individuals with  
acquired immuno deficiency syndrome (AIDS).

None of the serum samples from category (1) were  
reactive with the tat-3. Approximately similar  
percentages of samples (53%, 29% and 53%) in each of the  
20 other categories were reactive with the tat-3. Reactions  
ranged from strongly reactive to weakly reactive without  
correlation to the stage of progression of the disease.

Table 1

25	<u>Sera</u>	<u>No. tested</u>	<u>No. positive</u>	<u>% positive</u>
	HN	15	0	0
	HC	30	16	53
30	ARC	28	8	29
	AIDS	34	18	53

The lower immunoreactivity of tat-3 as compared to  
25 env or gag proteins may be due to the lower level of the

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- 1 protein expressed in vivo, presence of fewer immunogenic  
epitopes and the presumed nuclear localization of tat-3.  
This example demonstrates utility of the  
bacterially-derived tat-3 protein in diagnosing infection  
5 by HTLV-III in an animal.

The above description and Examples are illustrative  
of the invention and of preferred embodiments thereof.  
The invention, however, is not limited to embodiments  
specifically disclosed herein but rather includes all  
10 modifications coming within the scope of the claims which  
follow.

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1 Claims:

1. An E. coli expression vector which comprises a DNA coding sequence operatively linked to a regulatory element wherein the DNA coding sequence codes for the tat-3 protein of HTLV-III or for a derivative thereof,  
5 which derivative is a polypeptide which is reactive with antisera to tat-3 induced in response to infection in an animal by HTLV-III.

2. The vector of claim 1 wherein the DNA coding  
10 sequence codes for a polypeptide having the amino acid sequence: N-MET (GLU PRO VAL)<sub>n</sub> ASP PRO ARG LEU GLU PRO TRP LYS HIS PRO GLY SER GLN PRO LYS THR ALA CYS THR ASN CYS TYR CYS LYS LYS CYS CYS PHE HIS CYS GLN VAL CYS PHE ILE THR LYS ALA LEU GLY ILE SER TYR GLY ARG LYS LYS ARG  
15 ARG GLN ARG ARG ARG PRO PRO GLN GLY SER GLN THR HIS GLN VAL SER LEU SER LYS GLN PRO THR SER GLN SER ARG GLY ASP PRO THR GLY PRO LYS GLU-C, wherein n is 0 or 1.

3. The vector of claim 2 wherein the DNA coding  
sequence is as follows: ATG (GAX CCX GTX)<sub>n</sub> GAT CCT AGA  
20 CTA GAG CCC TGG AAG CAT CCA GGA AGT CAG CCT AAA ACT GCT TGT ACC AAT TGC TAT TGT AAA AAG TGT TGC TTT CAT TGC CAA GTT TGT TTC ATA ACA AAA GCC TTA GGC ATC TCC TAT GGC AGG AAG AAG CGG AGA CAG CGA CGA AGA CCT CCT CAA GGC AGT CAG ACT CAT CAA GTT TCT CTA TCA AAG CAA CCC ACC TCC CAA TCC  
25 CGA GGG GAC CCG ACA GGC CCG AAG GAA TAG, wherein n is 0 or 1 and X is G or A.

4. The vector of claim 1, 2 or 3 wherein the  
regulatory element comprises the PL promoter of lambda,  
the Nut L and Nut R recognition sites and the cII ribosome  
30 binding site.

5. The vector of claim 1, 2 or 3 which is pAS1, or a  
derivative thereof, into which the coding sequence for  
tat-3 has been inserted.



1           6. The vector of claim 5 which is pOTS-tatIIID or  
pOTS-tatIII.

          7. A method for detecting infection in an animal by  
HTLV-III which comprises contacting a sample of serum from  
5 the animal with tat-3, or a derivative thereof which  
derivative is a polypeptide which is reactive with  
antisera to tat-3 induced in response to infection in an  
animal by HTLV-III, and assaying for reactivity of the  
sample with the tat-3 or tat-3 derivative.

10           8. The method of claim 7 wherein the tat-3 or tat-3  
derivative is derived from E. coli transformed with an  
expression vector which comprises a DNA coding sequence  
operatively linked to a regulatory element wherein the DNA  
coding sequence codes for the tat-3 protein of HTLV-III or  
15 for a derivative thereof, which derivative is a  
polypeptide which is reactive with antisera to tat-3  
induced in response to infection in an animal by HTLV-III.

          9. The method of claim 8 wherein the tat-3 or tat-3  
derivative has the following amino acid sequence: N-MET  
20 (GLU. PRO VAL)<sub>n</sub> ASP PRO ARG LEU GLU PRO TRP LYS HIS PRO  
GLY SER GLN PRO LYS THR ALA CYS THR ASN CYS TYR CYS LYS  
LYS CYS CYS PHE HIS CYS GLN VAL CYS PHE ILE THR LYS ALA  
LEU GLY ILE SER TYR GLY ARG LYS LYS ARG ARG GLN ARG ARG  
ARG PRO PRO GLN GLY SER GLN THR HIS GLN VAL SER LEU SER  
25 LYS GLN PRO THR SER GLN SER ARG GLY ASP PRO THR GLY PRO  
LYS GLU-C, wherein n is 0 or 1.

          10. The method of claim 9 wherein the DNA coding  
sequence is as follows: ATG (GAX CCX GTX)<sub>n</sub> GAT CCT AGA  
CTA GAG CCC TGG AAG CAT CCA GGA AGT CAG CCT AAA ACT GCT  
30 TGT ACC AAT TGC TAT TGT AAA AAG TGT TGC TTT CAT TGC CAA  
GTT TGT TTC ATA ACA AAA GCC TTA GGC ATC TCC TAT GGC AGG  
AAG AAG CGG AGA CAG CGA CGA AGA CCT CCT CAA GGC AGT CAG  
ACT CAT CAA GTT TCT CTA TCA AAG CAA CCC ACC TCC CAA TCC

1 CGA GGG GAC CCG ACA GGC CCG AAG GAA TAG, wherein n is 0 or  
1 and X is G or A.

11. The method of claim 8, 9 or 10 wherein, in the  
vector, the regulatory element comprises the PL promoter  
5 of lambda, Nut L and Nut R recognition sites and the cII  
ribosome binding site.

12. The method of claim 8, 9 or 10 wherein the vector  
is pAS1, or a derivative thereof, into which the tat-3  
coding sequence has been inverted.

10 13. The method of claim 12 in which the vector is  
pOTS-tatIIID or pOTS-tatIII.

14. The method of claim 7, 8, 9 or 10 which also  
comprises contacting the sample with one or more other  
HTLV-III gene products.

15 15. The method of claim 7, 8, 9 or 10 in which the  
sample is contacted with the tat-3 in a western blotting  
assay.

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# INTERNATIONAL SEARCH REPORT

International Application No **PCT/US 86/02374**

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>3</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC IPC(4) C07/k 13/00, 15/04, C12N 7/00, 15/00; C12P19/34; A61K39/12, 39/21 US 435/5, 68, 424/89 530/300		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>4</sup>		
Classification System	Classification Symbols	
US	435/5, 6, 68, 70, 91, 253, 172.3, 317; 536/27, 935/29, 81 424/85, 86, 89; 514/2, 12; 530/300, 826	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>5</sup>		
CHEMICAL ABSTRACTS DATA BASE (CAS) 1967-1986 BIOLOGICAL ABSTRACTS DATA BASE (BIOSIS) 1969-1986 KEYWORDS: HTLV-III; LAV; ARV; TAT-III		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>		
Category <sup>6</sup>	Citation of Document, <sup>15</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>
Y	SCIENCE, (Washington, D.C., U.S.A.), Volume 229, issued 05 July, 1985, (ARYA ET AL), "Trans-Activator Gene of Human T-Lymphotropic Virus Type III (HTLV-II), see page 69.	1-15
Y	SCIENCE, (Washington, D.C., U.S.A.), Volume 229, issued 05 July, 1985, (SODROSKI ET AL), "Location of the Trans-Activating Region on the Genome of Human T-Cell Lymphotropic Virus Type III", see page 74.	1-15
Y	US, A 4520113 (GALLO ET AL), 28 May, 1985, see column 1,	1-15
Y,P	CELL, (Cambridge, Massachusetts), Volume 43, issued December, 1985, (CROWL ET AL), "HTLV-III env Gene Products Synthesized in E. coli Are Recognized by Antibodies Present in the Sera of Aids Patients", see page 461.	7-15
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>19</sup> Special categories of cited documents: <sup>15</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"d" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATE</b>		
Date of the Actual Completion of the International Search <sup>2</sup>		Date of Mailing of this International Search Report <sup>2</sup>
23 DECEMBER 1986		05 JAN 1987
International Searching Authority <sup>1</sup>		Signature of Authorized Officer <sup>10</sup>
ISA/US		Stephanie Seidman Ph.D. J.D.

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

Y

PROCEEDING NATIONAL ACADEMY SCIENCES  
(Washington, D.C., U.S.A.), Volume  
81, issued October 1984, (KIYOKAWA  
ET AL), "Envelope proteins of human  
T-cell leukemia virus: Expression  
in Escherichia coli and its applica-  
tion to studies of env gene  
functions", see page 6202.

1-15

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE <sup>10</sup>

This International search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☐ Claim numbers ..... because they relate to subject matter <sup>12</sup> not required to be searched by this Authority, namely:

2. ☐ Claim numbers ..... because they relate to parts of the international application that do not comply with the prescribed require-  
ments to such an extent that no meaningful international search can be carried out <sup>13</sup>, specifically:

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING <sup>11</sup>

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.

2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

## Remark on Protest

☐ The additional search fees were accompanied by applicant's protest.

☐ No protest accompanied the payment of additional search fees.

## III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)

Category *	Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No <sup>18</sup>
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Y	<p><u>PROCEEDINGS NATIONAL ACADEMY SCIENCES</u> (Washington, D.C., U.S.A.), Volume 82, issued November, 1985, (DOWBENKO ET AL), "Bacterial expression of the acquired immunodeficiency syndrome retrovirus p24 gag protein and its use as a diagnostic reagent", see page 7748.</p>	1-15
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